



AF 1600

Docket No. 0575/64077/JPW/AJM/JCS

In re application of: Alan R. Tall, Carrie L. Welch, and Chien-Ping Liang

Serial No.: 09/898,554

Examiner: R. Li

Filed : July 2, 2001

Group Art Unit: 1646

For : ATHEROSCLEROSIS SUSCEPTIBILITY GENE LOCUS 1 (ATHSQ1) AND ATHEROSCLEROSIS SUSCEPTIBILITY GENE LOCUS 2 (ATHSQ2)

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P.O. Box 1450
Alexandria, VA 22313-1450
SIR:

November 18, 2003

Transmitted herewith is an amendment in connection with the above identified application.

X Small entity status of this application under 37 C.F.R. \$1.9 and \$1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. \$1.9 and \$1.27 is enclosed.

X No additional fee is required.

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The filing fee is calculated as follows:

| | Number After Amend-Ment | | Highest Number Previously Paid For | | Number of Extra Claims Presented | | Rate | | | Fee | |
|---|-------------------------|---|------------------------------------|---|----------------------------------|---|--------------------------|--------------|---|--------------|--------------|
| | | | | | | | Small Entity | Other Entity | | Small Entity | Other Entity |
| Total Claims | 9 | - | 20 | = | 0 | x | \$9 | \$18 | = | 0 | 0 |
| Indepen-Dent Claims | 1 | - | 2 | = | 0 | x | \$42 | \$84 | = | 0 | 0 |
| Multiple Dependent Claim(s) Presented Yes <u> X </u> No | | | | | | | \$140 | \$280 | | 0 | 0 |
| For First Time | | | | | | | Total Additional Fee \$0 | | | | |

*If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

**If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

***If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in the space

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Amendment Transmittal Letter

Page 2

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment of the number of claims as originally filed

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X Any filing fees under 37 C.F.R. \$1.16
for the presentation of extra claims.

X Any patent application processing fees
under 37 C.F.R. \$1.17.

Respectfully submitted,

I hereby certify that this
correspondence is being deposited
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Alan J. Morrison
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Date

11/18/02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Alan R. Tall, Carrie L. Welch, and Chien-Ping Liang

U.S. Serial No.: 09/898,554 Examiner: R. Li

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1185 Avenue of the Americas
New York, NY 10036
November 18, 2003

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop AF

Sir:

AMENDMENT IN RESPONSE TO
AUGUST 18, 2003 FINAL OFFICE ACTION

This Amendment is submitted in response to the Office Action issued August 18, 2003 by the U.S. Patent and Trademark Office in connection with the above-identified application. A response to the August 18, 2003 Office Action is due November 18, 2003. Accordingly, this Amendment is being timely filed.